



Melanie Mayo West, Esq.

Director, Compliance

Investment-related Experience: 24 years

Years with Firm: 3 years

As LSIA's Director, Compliance, Melanie helps ensure our firm meets applicable federal regulatory requirements. She has a thorough knowledge and understanding of all investment advisor regulations and how they affect our firm, business, operations and clients. Further, she monitors ongoing legislative developments and their potential impact on LSIA. Working closely with LSIA's Chief Compliance Officer, she is responsible for assessing risks within the firm, preparing policies and procedures and conducting forensic testing to ensure proper management and controls are in place. She acts as general counsel to all employees regarding compliance matters and to the firm as a whole on all legal matters.

Melanie's legal career includes almost 11 years at Munder Capital Management, a large, investment advisory firm based in Birmingham, Michigan, where she served as both Associate General Counsel (2000-2010), providing legal and regulatory advice relating to all areas of the firm's business, and as Assistant to the President (2011), consulting on strategic business decisions. Prior to joining LSIA, Melanie was Chief Compliance Officer for a small, Detroit-based investment advisor (2014), where she provided counsel on a variety of compliance, investment, client servicing and operational matters, and Principal Counsel to the Treasurer for the Maryland Office of the Attorney General (2011-2013), where she provided legal counsel to the Maryland State Treasurer and staff on all matters relating to the procurement of financial services and issuance of State debt.

Following graduation from law school, Melanie was an Associate with several Detroit area law firms (1995-2000), including Dykema Gossett PLLC where she provided legal advice on a variety of investment industry regulatory matters. She began her investment career as a Marketing Analyst with First Boston Asset Management (1989-1990).

EDUCATION AND ACCREDITATIONS

JD - University of Michigan

MBA - University of Michigan

AB - University of Michigan

PROFESSIONAL AFFILIATIONS

Michigan State Bar Association

Maryland State Bar Association